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PTO/SB/21 (08-03)

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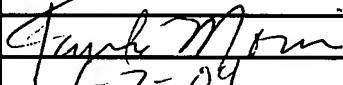
TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4+

Application Number	10/761,641
Filing Date	01/21/2004
First Named Inventor	YANG, et al.
Art Unit	1621
Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	TH2608

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="margin-left: 20px;">1) 5 refs. attached (9 refs total); 2) Certificate of Mailing 37 CFR 1.10; and 3) Return Receipt Postcard.</p>
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Paula D. Morris, Reg. No. 31,516	
Signature		
Date	6-7-04	

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: YANG, et al. § Group Art Unit: 1621  
Serial No.: 10/761,641 § Examiner: Not Yet Assigned  
Filed: January 21, 2004 § Atty. Docket No.: TH2608  
Title: PROCESS FOR PRODUCING PHENOL AND KETONE USING NEUTRALIZING BASE

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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached Form PTO/SB/08A be considered by the Examiner and made of record.

This Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56 exists.

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Respectfully submitted,

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Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1 of 1 Attorney Docket Number TH2608

U. S. PATENT DOCUMENTS

## **FOREIGN PATENT DOCUMENTS**

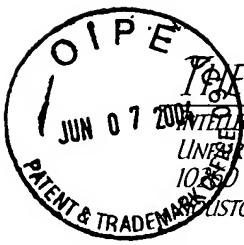
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP0548986A1	06-30-1993	SUMITOMO		
		EP0578194B1	01-12-1994	SUMITOMO		
		EP1088807A1	04-04-2001	PHENOLCHEMIE		
		EP01088809A1	04-04-2001	PHENOLCHEMIE		
		JP62-114922	05-26-1987	DAINIPPON INK		

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#### Attached are the following pages:

Transmittal form PTO/SB/21 [1 page],  
Information Disclosure Statement transmittal [1 page];  
IDS form PTO/SB/08A listing 9 total references [1 page]  
5 Foreign Patent Documents and return receipt postcard.

Applicant:	YANG, et al.	Group Art Unit:	1621
Serial No.:	10/761,641	Examiner:	Not Yet Assigned
Filing Date:	01/21/2004	Atty. Docket No.:	TH2608
Title:	Process for Producing Phenol and Ketone Using Neutralizing Base		